

ESTEP workshop

## SecCarb4Steel

Preparation and use of biogenic and non-biogenic secondary carbon carriers (SCC) in processes for iron and steelmaking

# Ecological evaluation of the utilization of secondary carbon sources in the steel industry through a Life Cycle Assessment approach

*Carsten Gondorf<sup>1</sup>, Felix Kaiser<sup>1</sup>, Thomas Echterhof<sup>1</sup>*

<sup>1</sup> RWTH Aachen

## Table of contents

---

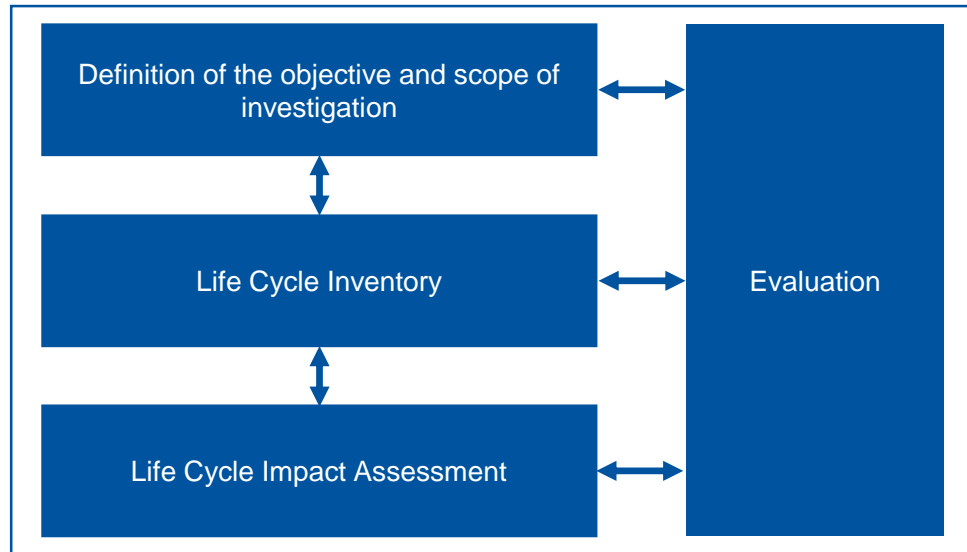
- Basics about Life Cycle Assessments and Material Flow Analysis
- Methodology of Life Cycle Assessment calculation
- Mass- and energy balances of industrial processes
- Use cases for utilization of secondary carbon in the steel industry
- Summary

# Basics about Life Cycle Assessments and Material Flow Analysis

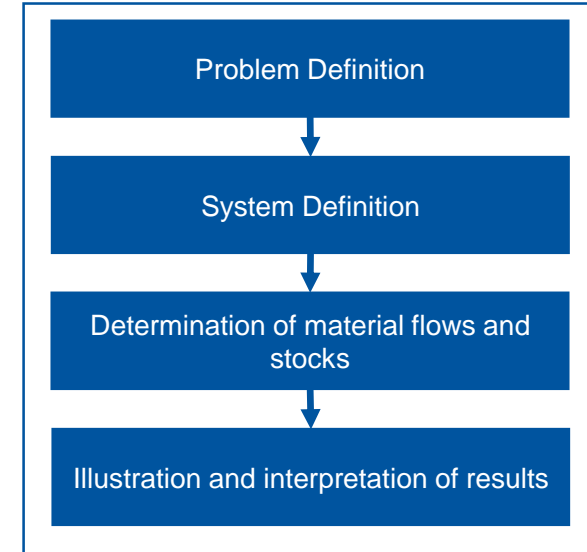
## Foundations of Life Cycle Assessments (LCA) and Material Flow Analysis (MFA)

- LCA: Compilation and assessment of input and output flows and potential environmental impacts of a product system during its life cycle
- MFA: Systematic assessment of the state and changes of flows and stocks of materials within a system defined in space and time

Phases of a life cycle assessment [1]



Phases of a material flow analysis [2]

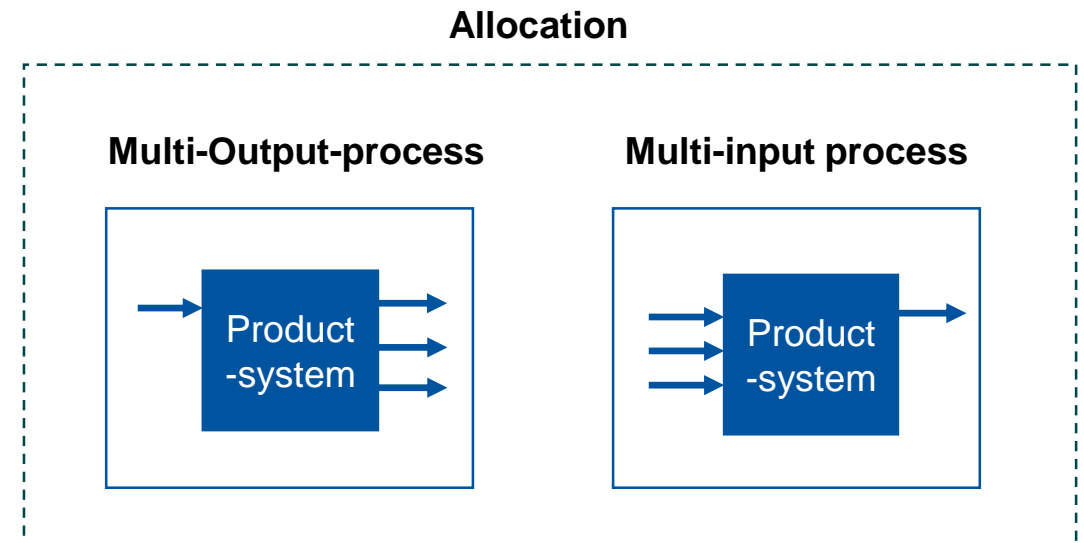
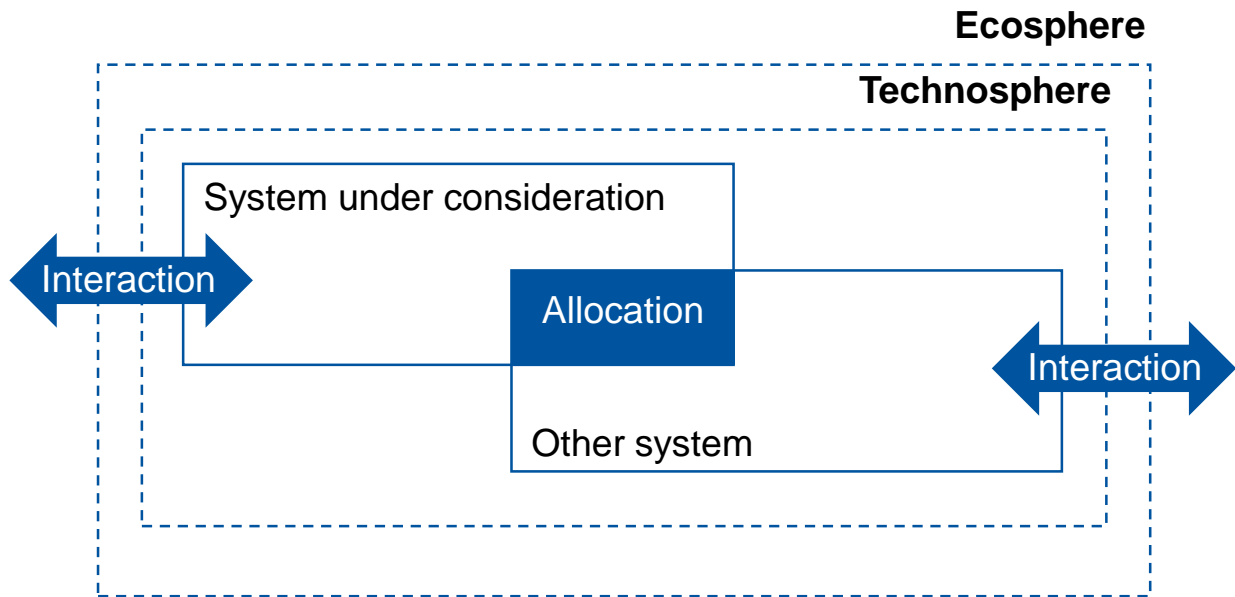


Source: [1] DIN EN ISO 14040, DIN EN ISO 14044, [2] Brunner et al. 2004

# Methodology of Life Cycle Assessment calculation

## Definition of the problem/objective and the scope of the investigation

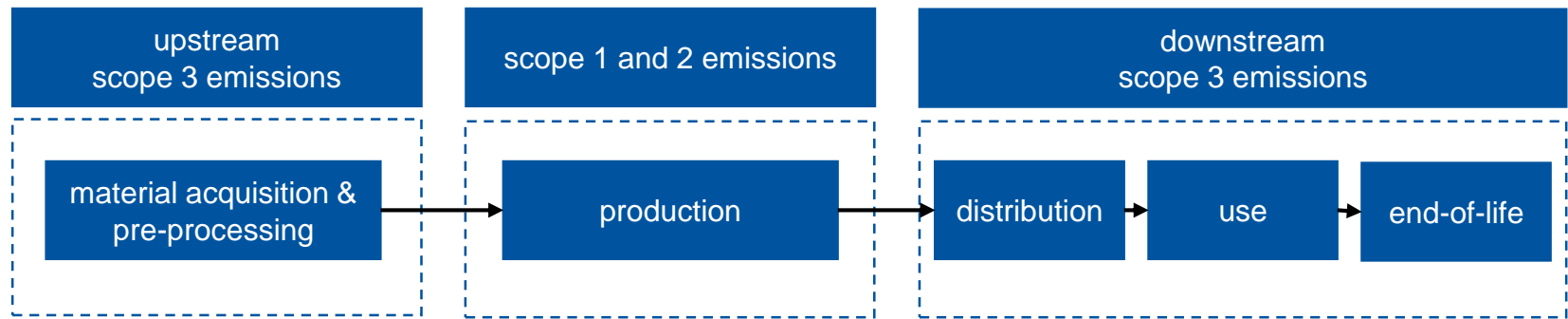
- Definition of objectives
- Definition of the product system, functional unit and reference flow
- Definition of the scope and methodologies



Source: DIN EN ISO 14040, Klöpffer (2017); Sundmacher (2002)

# Methodology of Life Cycle Assessment calculation

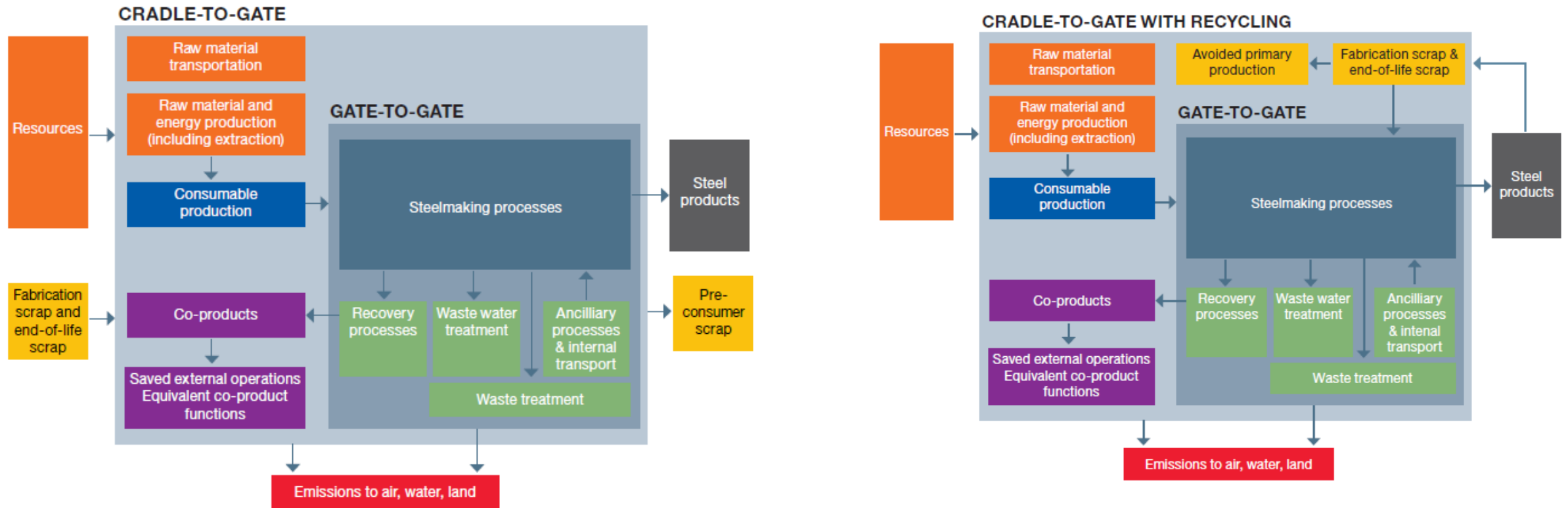
## Relationship of scopes for calculating Carbon Footprints



Level of complexity of the research framework	Description
Scope 1 (Direct GHG emissions)	Directly released GHG emissions that are directly attributable to the product system (e.g. exhaust gases from the combustion process)
Scope 2 (Indirect GHG emissions)	Indirect GHG emissions attributable to the product system (e.g. the emissions of the electricity mix used to provide the electrical energy required by the product)
Scope 3 (Other GHG emissions)	Other GHG emissions that can be assigned to upstream and downstream life phases of the product system (e.g. raw material extraction, recycling)

# Methodology of Life Cycle Assessment calculation

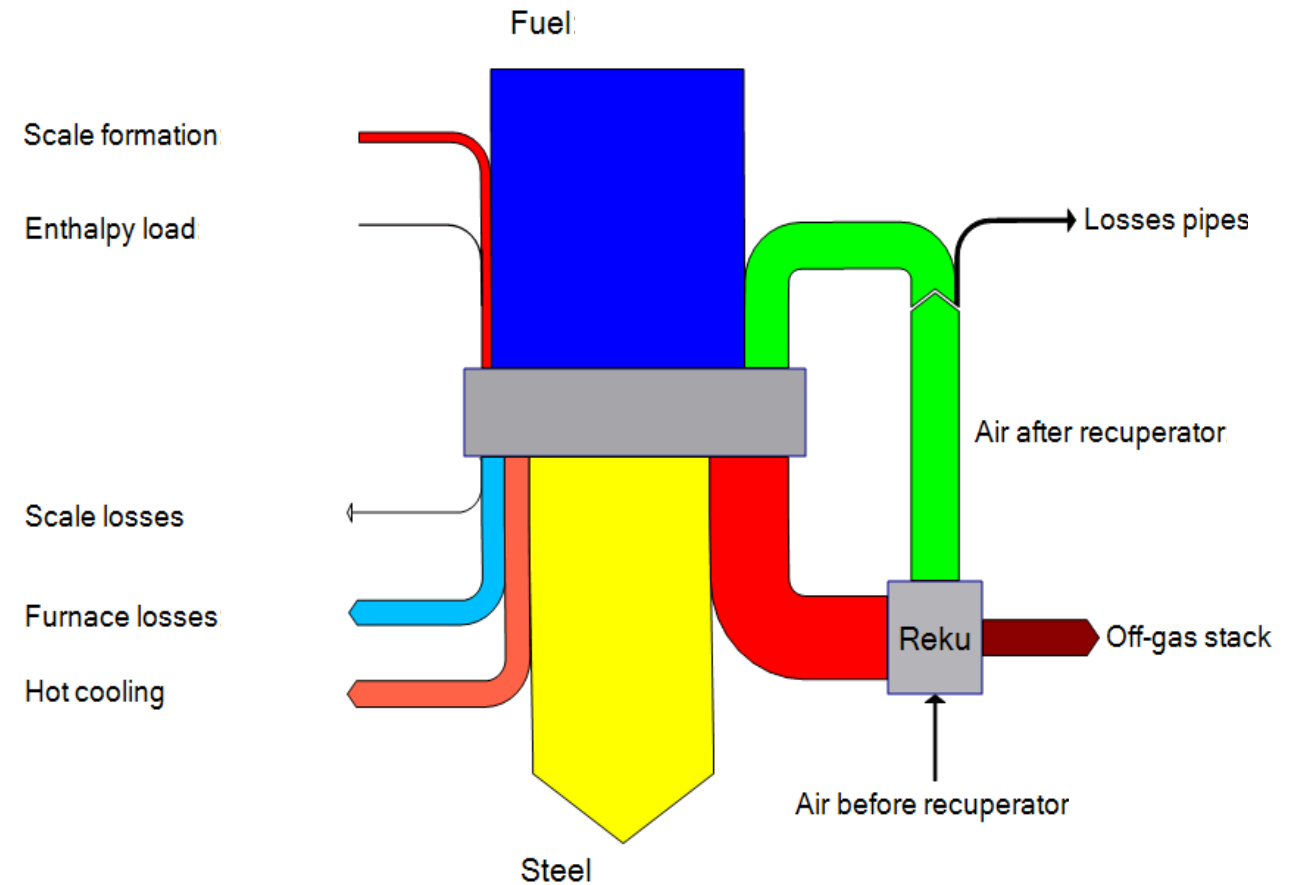
## Exemplary product systems and system boundaries of steel manufacturing



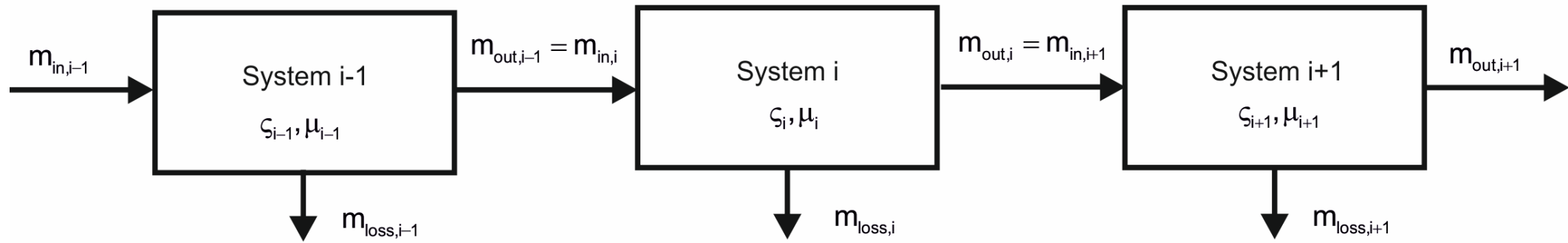
# Methodology of Life Cycle Assessment calculation

## Life Cycle Inventory and determination of material flows and stocks

- Data collection
- Data calculation
- Assignment to Process Modules
- Modeling of the product system



## Mass balance principles



$$\zeta_{\text{tot}} = \frac{m_{\text{out},1}}{m_{\text{in},1}} \frac{m_{\text{out},2}}{m_{\text{in},2}} \frac{m_{\text{out},3}}{m_{\text{in},3}} \dots = \zeta_1 \cdot \zeta_2 \cdot \zeta_3 \cdot \dots = \prod_{i=1}^n \zeta_i$$

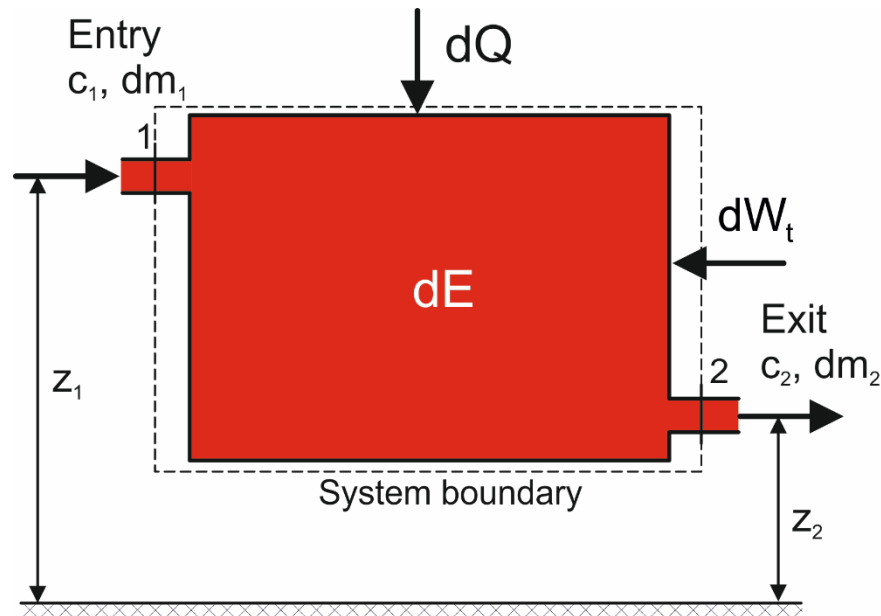
$$\mu_{\text{tot}} = \frac{m_{\text{in},1}}{m_{\text{out},1}} \frac{m_{\text{in},2}}{m_{\text{out},2}} \frac{m_{\text{in},3}}{m_{\text{out},3}} \dots = \mu_1 \cdot \mu_2 \cdot \mu_3 \cdot \dots = \prod_{i=1}^n \mu_i$$



# Mass- and energy balances of industrial processes

## Energy balance principles

Sum of the entering energy flows	-	Sum of the outgoing energy flows	=	Time depended change of the stored energy in the control volume
----------------------------------	---	----------------------------------	---	---



$$dQ + dW_t + (h_1 + \frac{c_1^2}{2} + gz_1)dm_1 - (h_2 + \frac{c_2^2}{2} + gz_2)dm_2 = dE$$

Q Heat

$h_i$  Specific enthalpy

g Gravity

$m_i$  Mass

1  $\triangleq$  in    2  $\triangleq$  out

$W_t$  Technical work

$c_i$  Velocity

$z_i$  Geodetic hight

E Energy

# Mass- and energy balances of industrial processes

## Data gathering

TRANSPORT (From storage to furnace)				INPUT	QUANTITY	UNIT	COMMENT	OUTPUT	QUANTITY	UNIT	COMMENT
Transport medium (Truck, conveyor belt, forklift...)	DISTANCE	UNIT	COMMENT	Raw materials (materials entering the furnace)				Product (Material leaving the furnace)			
ENERGY SOURCE (Energy source to provide heat)	CONSUMPTION	UNIT	ORIGIN (Where is this energy coming from? e.g. 100% Renewable, Nuclear, coal...)	Consumables (Electrodes, oil, lubricants...)				Co-product (Valuable material generated during the production of other material)			
Natural gas											
Electricity				Water (cooling water, distilled water..)				product			
Other											
								Emissions to air			
								Emissions to water			
								Emissions to soil			
								Other			

- Data often based on ranges
- Depending on the product, data has to be converted to fit the format  $x/\text{product}$  or  $x/t_{\text{product}}$

## Use cases for utilization of secondary carbon sources in the steel industry

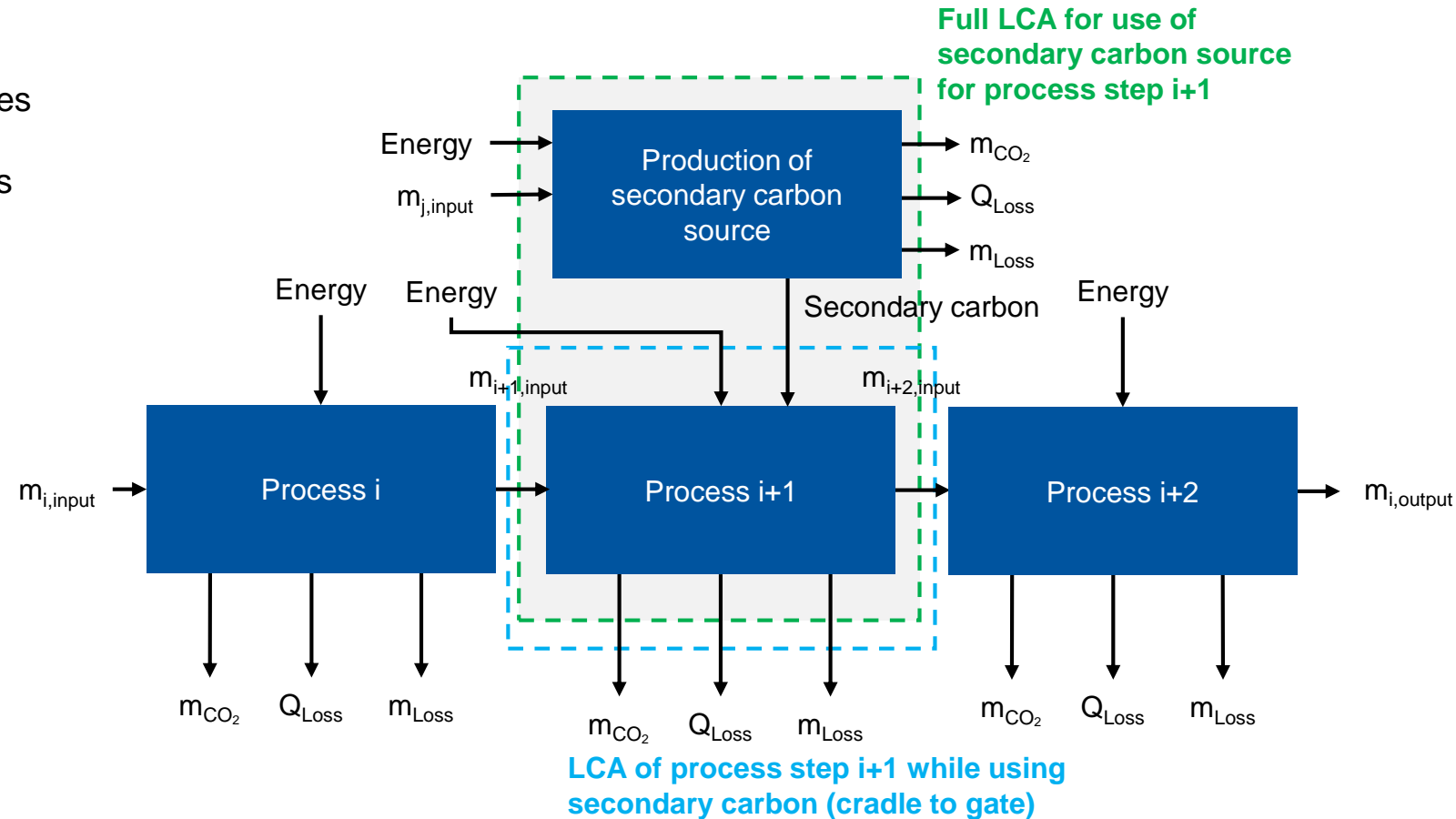
---

- Decarbonization through alternative fuels
  - Utilize biochar, agricultural waste, or municipal solid waste-derived carbon in blast and electric arc furnaces
- Injection of secondary carbon into furnaces
  - Replace partial pulverized coal injection with processed secondary carbon feedstocks
- Utilization of recycled carbon materials
  - Incorporate materials like coke breeze, recovered carbon black, or carbon from fly ash into the sintering process or electric arc furnace charge
- Carbon recovery and reuse from flue gases
  - Employ carbon capture and utilization (CCU) technologies to transform flue gas carbon into syngas for steelmaking or chemical production
- Secondary carbon for alloying and carburizing
  - Use recycled graphite or secondary carbon sources in carburization and alloying processes
- Biomass integration or hydrogen and secondary carbon blending in DRI (Direct Reduced Iron)
  - Use a mix of bio-syngas and hydrogen in DRI or blast furnace operations
- Plastic waste as a carbon source
  - Convert plastics into pyrolysis oil or synthetic gas and inject these into steel production processes

# Use cases for utilization of secondary carbon sources in the steel industry

## Evaluation of allocations and upstream and downstream processes

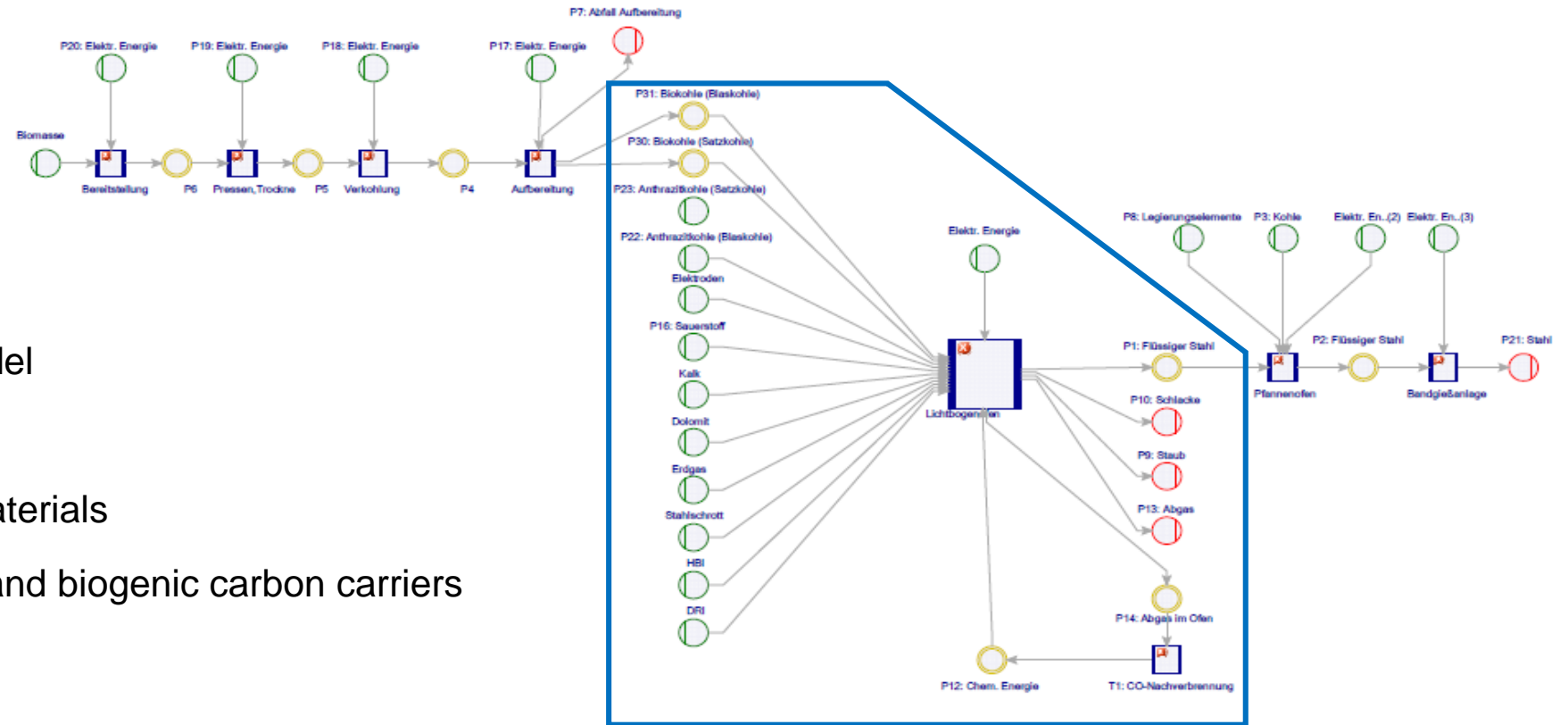
- Calculation **with** or **without** up- and downstream processes for the use of secondary carbon sources
- Solving of allocation problem for responding mass and energy flows of secondary carbon source through different approaches
  - Avoidance (e.g. through system expansion and system limitation)
  - Allocation by physical quantities
  - Allocation according to other variables (e.g. economic factors)
- Use of cut-off criteria for complex scope of investigation
  - Share of max. 1 % in the overall system as a criteria for cutting off material and energy flows



## Use case A - Biogenic carbon as alternative fuels

### Substitution of fossil charge carbon with biochar in EAF

- Basic model and extended model
- Focus on the melting process
- All relevant input and output materials
- Analysis of the usage of fossil and biogenic carbon carriers



Basic model

## Use case A - Biogenic carbon as alternative fuels

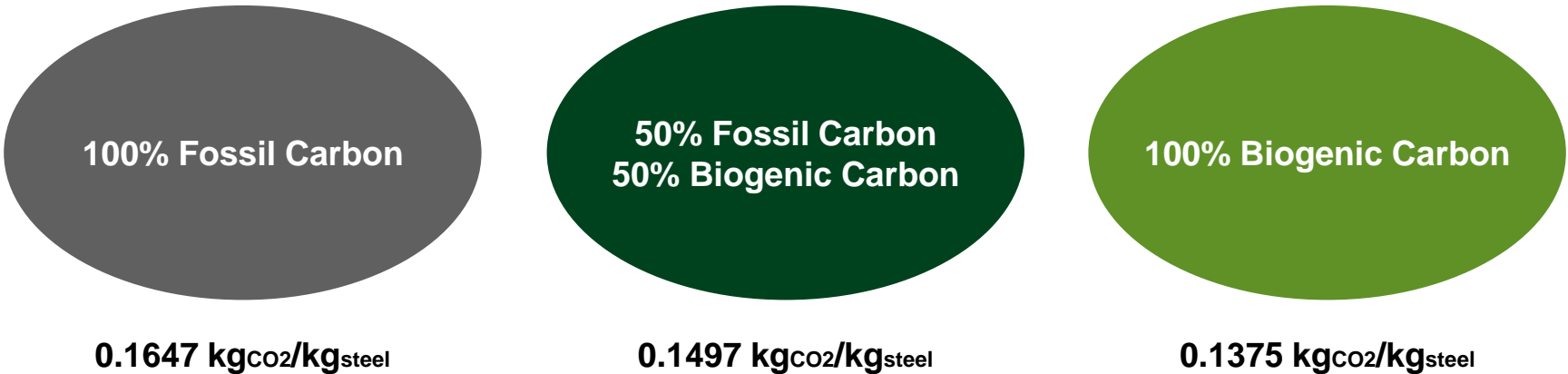
### Substitution of fossil charge carbon with biochar in EAF

- Substituting Fossil Charge Carbon with Biochar (Palm Kernel Shell PKS)
- Comparison of 3 different scenarios → 100% Fossil Carbon; 50% Fossil Carbon, 50% Biogenic Carbon; 100% Biogenic Carbon
- Functional Unit (FU): 1 kg Steel
- Emission Factors: Deutsche Emissionshandelsstelle (DEHSt)

	100% Fossil Carbon		50% Fossil Carbon 50% Biogenic Carbon		100% Biogenic Carbon		Emission Factor	
Fossil Charge Carbon	0.0089	kg/kg <sub>steel</sub>	0.0039	kg/kg <sub>steel</sub>	0.0000	kg/kg <sub>steel</sub>	3.664	kgco <sub>2</sub> /kg
Biogenic Charge Carbon	0.0000	kg/kg <sub>steel</sub>	0.0039	kg/kg <sub>steel</sub>	0.0104	kg/kg <sub>steel</sub>	0	kgco <sub>2</sub> /kg
Fossil Injecting Carbon	0.0120	kg/kg <sub>steel</sub>	0.0129	kg/kg <sub>steel</sub>	0.0139	kg/kg <sub>steel</sub>	3.664	kgco <sub>2</sub> /kg
Electrodes	*0.0127	kg/kg <sub>steel</sub>	*0.0127	kg/kg <sub>steel</sub>	*0.0127	kg/kg <sub>steel</sub>	3.6	kgco <sub>2</sub> /kg
Scrap	1.0607	kg/kg <sub>steel</sub>	1.1431	kg/kg <sub>steel</sub>	1.0689	kg/kg <sub>steel</sub>	0.00549	kgco <sub>2</sub> /kg
Oxygen	0.0645	kg/kg <sub>steel</sub>	0.0548	kg/kg <sub>steel</sub>	0.0548	kg/kg <sub>steel</sub>	-	kgco <sub>2</sub> /kg
Natural Gas	0.0025	kg/kg <sub>steel</sub>	0.0024	kg/kg <sub>steel</sub>	0.0024	kg/kg <sub>steel</sub>	0.055	kgco <sub>2</sub> /kg
Dolomite	0.0275	kg/kg <sub>steel</sub>	0.0286	kg/kg <sub>steel</sub>	0.0299	kg/kg <sub>steel</sub>	0.7848	kgco <sub>2</sub> /kg
Limestone	0.0191	kg/kg <sub>steel</sub>	0.0165	kg/kg <sub>steel</sub>	0.0118	kg/kg <sub>steel</sub>	0.477	kgco <sub>2</sub> /kg
Steel	1.0000	kg/kg <sub>steel</sub>	1.0000	kg/kg <sub>steel</sub>	1.0000	kg/kg <sub>steel</sub>	0.00549	kgco <sub>2</sub> /kg
Slag	*0.1059	kg/kg <sub>steel</sub>	*0.1073	kg/kg <sub>steel</sub>	*0.1045	kg/kg <sub>steel</sub>	0.0070	kgco <sub>2</sub> /kg
								estimated
Electricity	0.4463	kWh/kg <sub>steel</sub>	0.4711	kWh/kg <sub>steel</sub>	0.4277	kWh/kg <sub>steel</sub>	0.29	kgco <sub>2</sub> /kWh

# Use case A - Biogenic carbon as alternative fuels

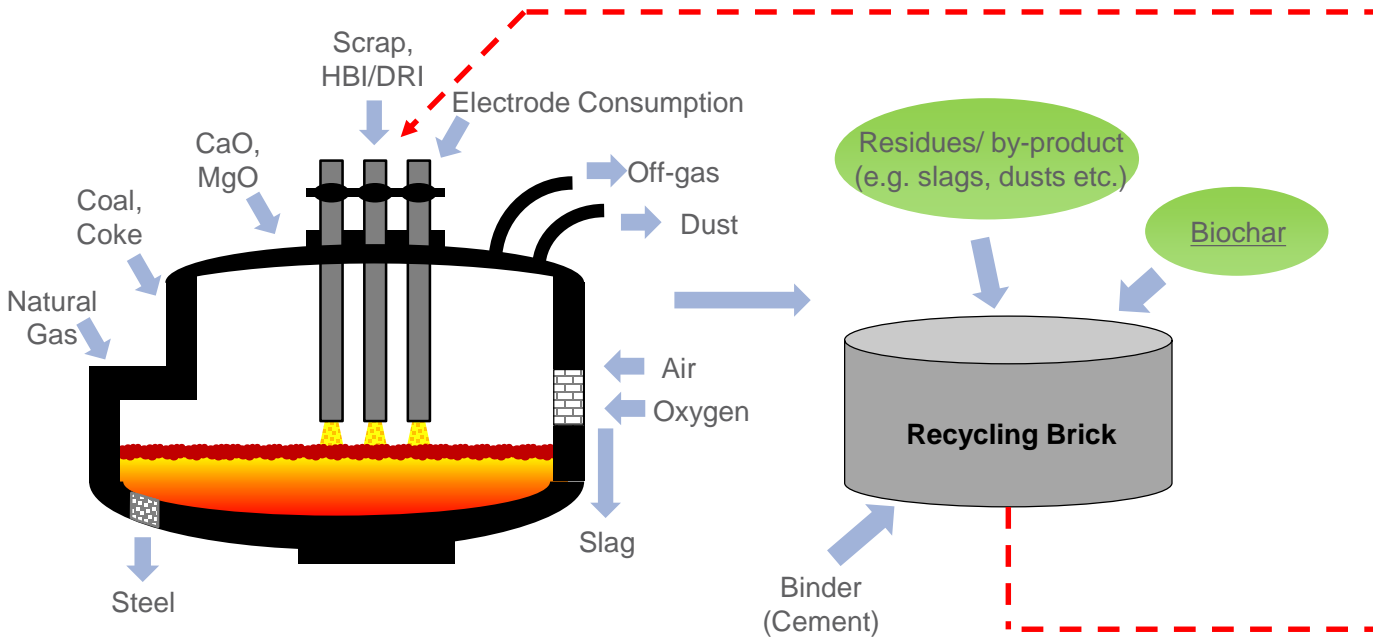
## Aggregated CO<sub>2</sub> emissions and off-gas



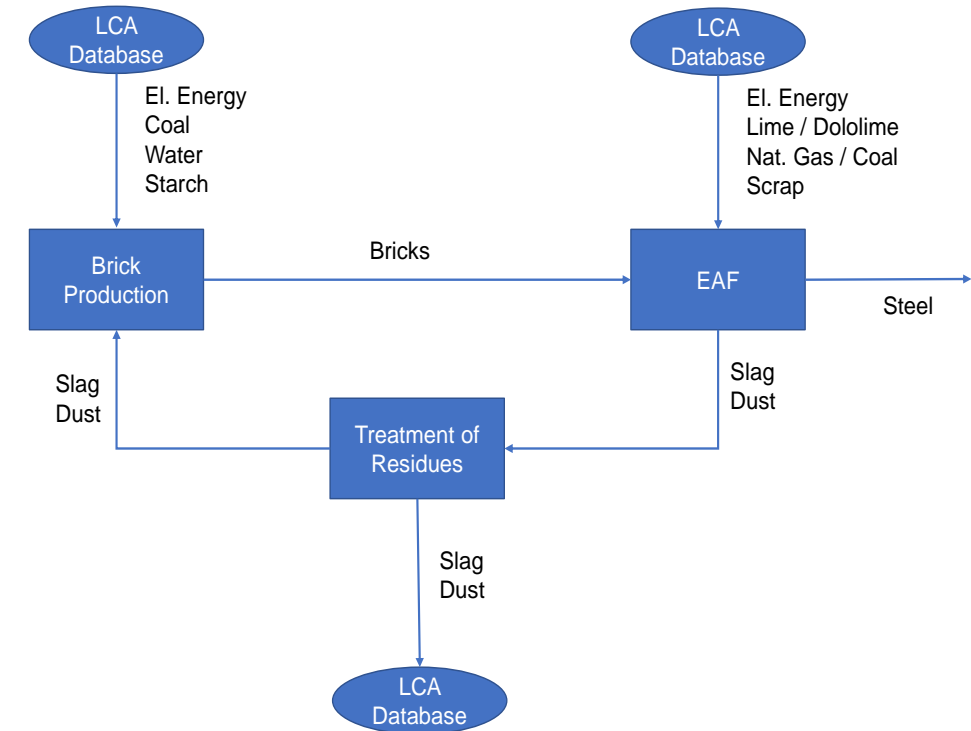
		100% Fossil Carbon	50% Fossil Carbon 50% Biogenic Carbon	100% Biogenic Carbon
CO	kg/kg <sub>steel</sub>	0.0471	0.0435	0.0569
CO <sub>2</sub>	kg/kg <sub>steel</sub>	0.0189	0.0202	0.0255
N <sub>2</sub>	kg/kg <sub>steel</sub>	0.0183	0.0238	0.0195
O <sub>2</sub>	kg/kg <sub>steel</sub>	0.0000	0.0000	0.0000
H <sub>2</sub>	kg/kg <sub>steel</sub>	0.0013	0.0009	0.0015
H <sub>2</sub> O	kg/kg <sub>steel</sub>	0.0012	0.0012	0.0021
CH <sub>4</sub>	kg/kg <sub>steel</sub>	0.0002	0.0001	0.0002

## Use case B - Biogenic carbon in agglomerates

Use of agglomerates out of residues and by-products, with biochar as reduction agent or organic bio-binders



Use of biochar as reduction agent



Use of organic bio-binders

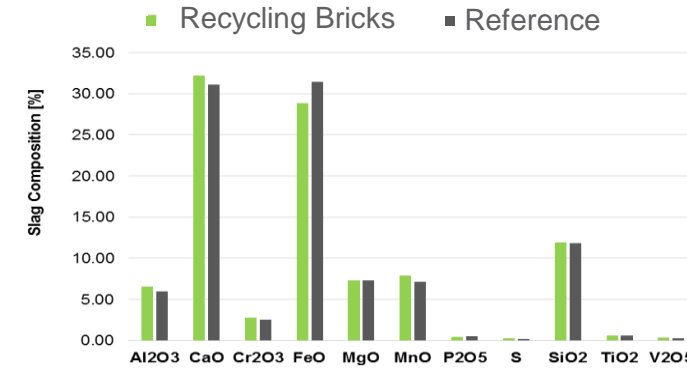


## Use case B - Biogenic carbon in agglomerates (Biochar as reduction agent)

- Usage of 10% of agglomerates out of residues, biochar and cement binders

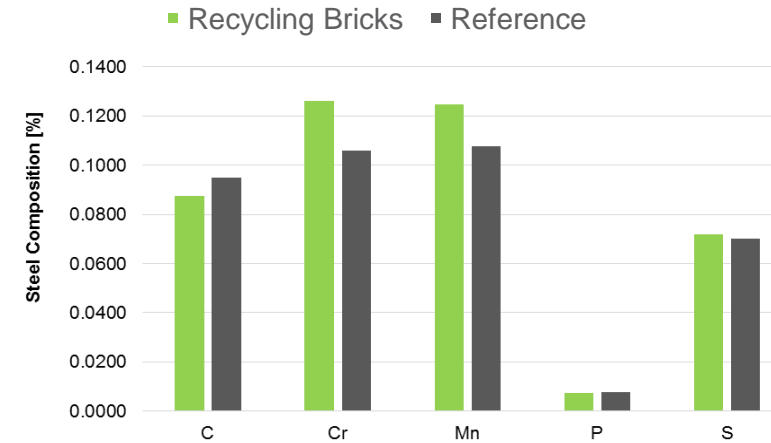
### Results – Slag Analysis

- Average  $\text{Al}_2\text{O}_3$  and CaO concentration of the slag is slightly higher
- Average FeO concentration of the slag decreases
- The basicity remains on the same level
- Differences are within the Standard Deviation



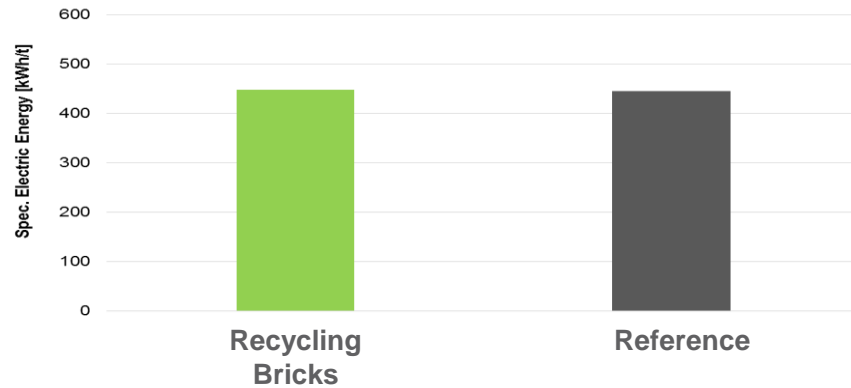
### Results – Steel Analysis

- Average S and P concentration show no significant deviation
- Production program is not representative due to small test sample
- Differences are within the Standard Deviation



## Use case B - Biogenic carbon in agglomerates (Biochar as reduction agent)

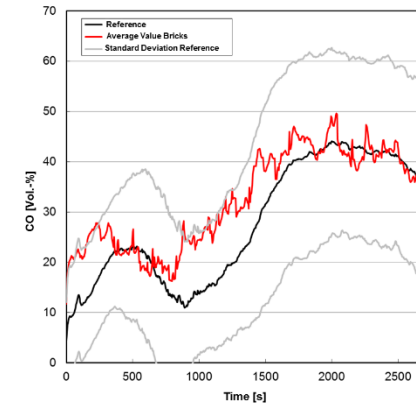
### Results – Specific electric energy consumption



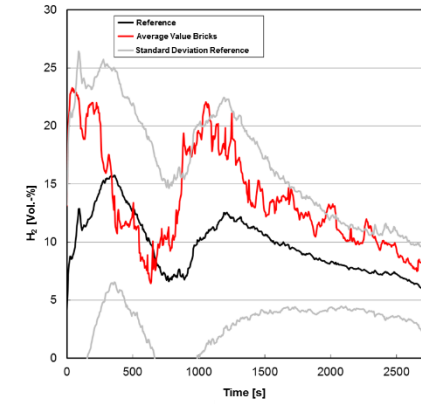
- The difference of the specific Electrical Energy Consumption is negligible

### Results – Off-gas analysis

#### Off-gas (CO)



#### Off-gas (H<sub>2</sub>)



- No significant deviation concerning the CO concentration of the off-gas
- Significantly increased values concerning the H<sub>2</sub> concentration of the off-gas (biochar)
- Differences are within the Standard Deviation

## Use case B - Biogenic carbon in agglomerates (Use of organic bio-binders)

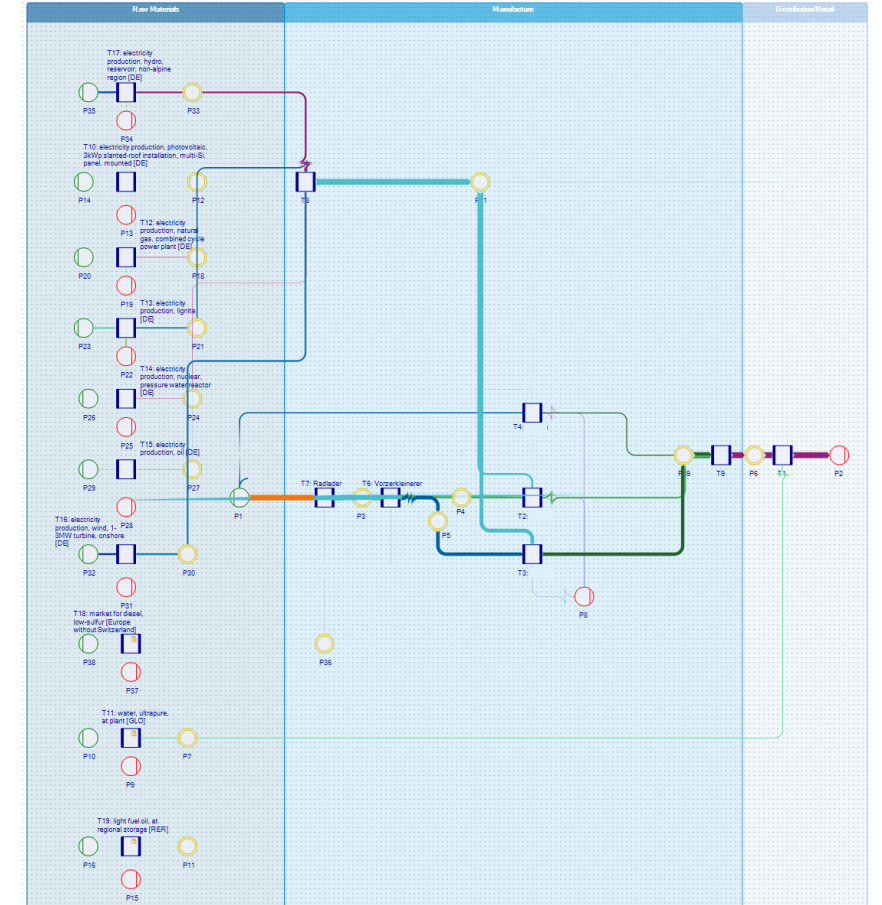
- Usage of 10% of agglomerates out of residues, coal and organic bio-binders
- The impact assessment in this LCA study is based on the eight impact categories:
  - Global Warming Potential (100 years), GWP100
  - Freshwater Eutrophication Potential, FEP
  - Terrestrial Acidification Potential (100 years), TAP100
  - Ozone Depletion Potential, ODP
  - Photochemical Oxidant Formation, POFP
  - Depletion Potential of Fossil Fuels, FDP
  - Metal Depletion, MDP
  - Human Toxicity Potential, HTP

	Reference	Recycling bricks
<b>GWP100</b>	100.00%	99.87%
<b>FEP</b>	100.00%	100.01%
<b>TAP100</b>	100.00%	100.16%
<b>ODP</b>	100.00%	100.04%
<b>POFP</b>	100.00%	100.93%
<b>FDP</b>	100.00%	99.87%
<b>MDP</b>	100.00%	100.01%
<b>HTP</b>	100.00%	100.16%

## Use case C - Plastics for injection

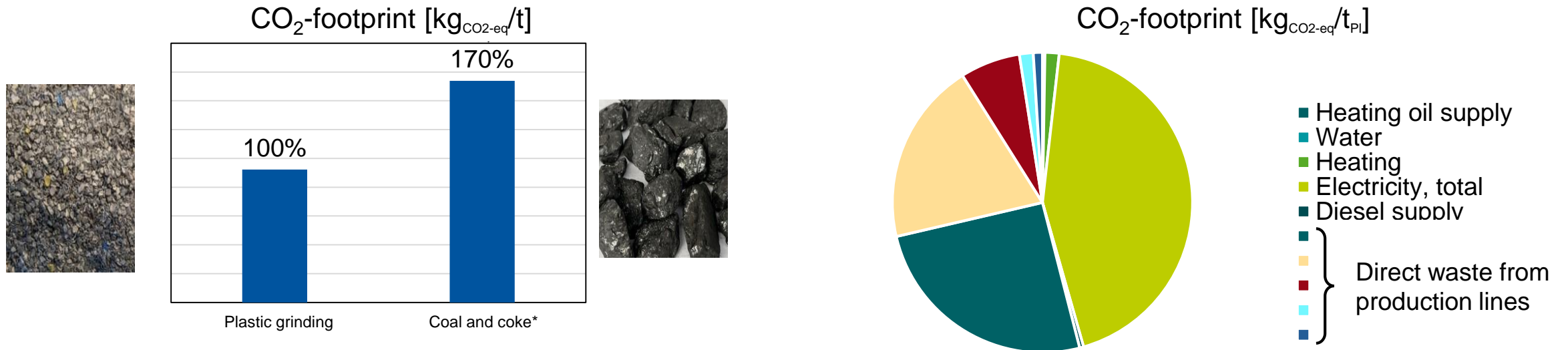
### Model structure plastics for injection (Scope 3)

- Plastic grinding waste is first dry-mechanically processed, then separated, crushed and finally classified. The recycled products are used as feedstock for steel production
- Calculation of a carbon footprint for the plastic grinding following DIN EN ISO 14067. This is then compared with conventional input materials for the steel industry
- Representation of the individual processes and material flows in the Umberto LCA+ software and ecoinvent database



## Use case C - Plastics for injection

### Carbon footprint plastic grinding from recycling (composition and comparison)



- Carbon footprint per ton of product is ca. 1,7 times higher for coal
- Significant saving possible for representative production timespan
- Indirect emissions from electricity production make up the biggest portion of the CO<sub>2</sub>-footprint per ton plastic product

\* Reference value from ecoinvent-Database

# Summary

---

- Main factors for LCA approaches for the ecological evaluation of the utilization of secondary carbon sources in the steel industry are:
  - Review of the total mass and energy balance (including raw material inputs and product outputs)
  - Verification of the completeness of the life cycle phases
  - Checking whether direct emissions are realistic, e.g. through a carbon balance
  - Review of data aggregation, data preparation, and the underlying modeling to calculate product inventory
  - Examination of the calculation formulas used
  - Plausibility check of the consumption of utility services
  - Review of the allocation methods used
  - Review of the secondary datasets selected for the upstream Scope 3 data
  - Data quality review
- Biggest limitations of the LCA
  - Data transparency (e.g. through suppliers)
  - Influence of the databases used
  - Partly simplification
- Comprehensive mass and energy balance for the process system is the most important foundation for LCA approaches

# Thank you for your kind attention!

Contact:

RWTH Aachen University  
Institut für Industrieofenbau und  
Wärmetechnik  
Kopernikusstraße 10  
52074 Aachen  
[www.iob.rwth-aachen.de](http://www.iob.rwth-aachen.de)

Carsten Gondorf, M.Sc.  
Tel.: +49 241 80 26074  
[gondorf@iob.rwth-aachen.de](mailto:gondorf@iob.rwth-aachen.de)

Felix Kaiser, M.Sc.  
Tel.: +49 241 80 25943  
[kaiser@iob.rwth-aachen.de](mailto:kaiser@iob.rwth-aachen.de)

Dr.-Ing. Thomas Echterhof  
Tel.: +49 241 80 25958  
[echterhof@iob.rwth-aachen.de](mailto:echterhof@iob.rwth-aachen.de)

## Sources

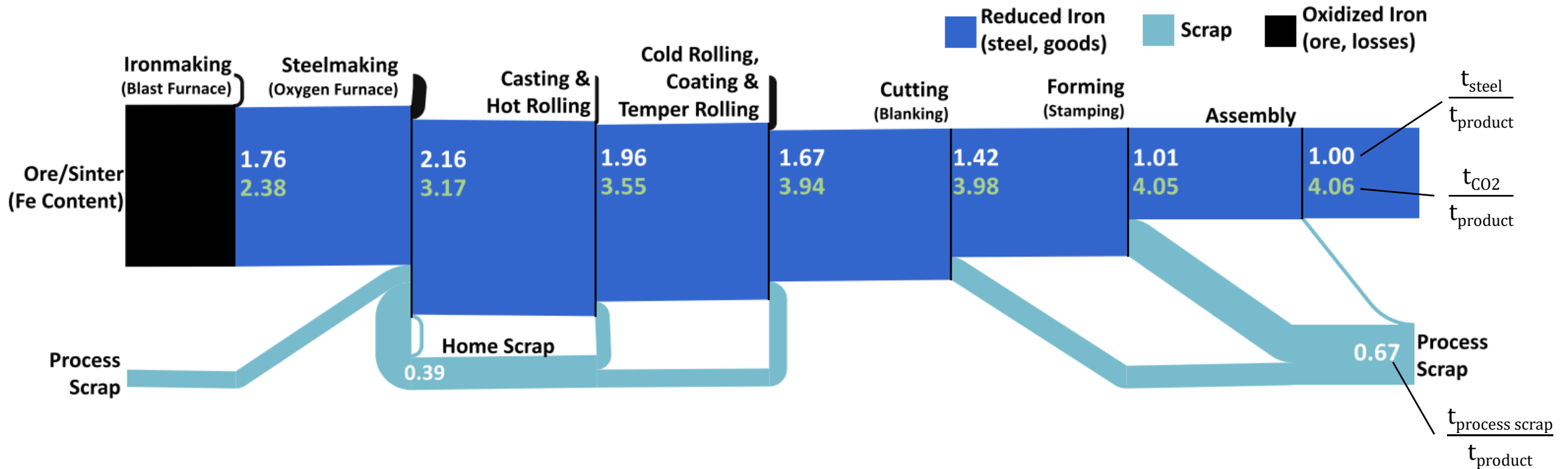
---

- **BDI:** Klimapfade für Deutschland, 2018
- **Brunner et al.:** Practical Handbook of Material Flow Analysis. Lewis Publishers, New York, 2004
- **DIN CEN ISO/TS 14027:** Umweltkennzeichnungen und -deklarationen - Entwicklung von Produktkategorieregeln, 2017
- **DIN EN ISO 14040,** Umweltmanagement – Ökobilanz – Grundsätze und Rahmenbedingungen, 2009
- **DIN EN ISO 14044,** Umweltmanagement – Ökobilanz – Anforderungen und Anleitungen, 2018
- **DIN EN ISO 14067,** Treibhausgase – Carbon Footprint von Produkten – Anforderungen an und Leitlinien für Quantifizierung, 2019
- **Kasah:** Life Cycle Assessment. Methodik, Hintergründe und Historie - Ein Überblick, RWTH Aachen, 2013
- **Klöpffer:** Ökobilanz (LCA), 2009
- **Pfeifer et al.:** Praxishandbuch Thermoprozesstechnik. Band II: Anlagen - Komponenten - Sicherheit, Vulkan-Verlag, Essen, 2011
- **Pfeifer et al.:** Handbuch Industrielle Wärmetechnik (2013)
- **Stichting Sustainability Impact Metrics:** The mission of the Sustainability Impact Metrics foundation, URL: <https://www.ecocostsvalue.com/mission/>.
- **Sundmacher, T.:** Das Umweltinformationsinstrument Ökobilanz (LCA), Peter Lang GmbH Europäischer Verlag der Wissenschaften, Frankfurt am Main, 2002
- **Allwood et al.:** Going on a metal diet (2011)
- **Flint et al.:** Scrap, carbon and cost savings from the adoption of flexible nested blanking (2019)
- **Neumeister:** CO<sub>2</sub>-Prozessanalyse von Aluminium Walzprodukten und Ansätze für eine CO<sub>2</sub> arme Produktion (2007)
- **VDMA e. V.:** VDMA-Guideline “Berechnung des Product Carbon Footprint im Maschinen- und Anlagenbau“, 2022.



# Mass- and energy balances of industrial processes

## Influence of combining KPIs in a process chain



Source: Flint et al – Scrap, carbon and cost savings from the adoption of flexible nested blanking (2019)